

Annexure-A**Form No. MGT-9****EXTRACT OF ANNUAL RETURN****As on the Financial Year ended on 31st March, 2018**

[Pursuant to Section 92(3) of the Companies Act, 2013 and Rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I.	REGISTRATION AND OTHER DETAILS:	
i)	CIN:-	L65910MH1992PLC067052
ii)	Registration Date	03/06/1992
iii)	Name of the Company	SWASTIKA INVESTMART LIMITED
iv)	Category / Sub-Category of the Company	Public Company limited by shares/Indian Non-Government Company
v)	Address of the Registered office and contact details	Flat No. 18, 2 nd Floor, North Wing, Madhaveswar Co-op. Hsg Society Ltd., Madhav Nagar, 11/12, S.V. Road, Andheri W, Mumbai, Maharashtra – 400058 Tel No: 022-26254568 Email id: secretarial@swastika.co.in Website: www.swastika.co.in
vi)	Whether listed company Yes / No	Yes (Bombay Stock Exchange Limited)
vii)	Name, Address and Contact details of Registrar and Transfer Agent, if any	Ankit Consultancy Pvt. Ltd. 60, Electronic Complex, Pardeshipura, Indore- 452010 (M.P.) Tel.: 0731-2551745-46 Fax: 0731-4065798 Email : ankit_4321@yahoo.com . Web address: www.ankitonline.com

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10 % or more of the total turnover of the company shall be stated:-

Sl.No.	Name and Description of Main Products/Services	NIC Code of The Product/service	% total turnover of the company
1	Business Activities relating to Stock Broking	6612 - Securities brokerage services	100%

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

Sl.No.	Name and Address of the Company	CIN/GLN	Holding/ Subsidiary /Associate	% of shares held	Applicable Section
1	Swastika Commodities Private Limited Flat No. 18, 2 nd Floor, North Wing, Madhaveswar Co-op. Hsg Society Ltd., Madhav Nagar, 11/12, S.V. Road, Andheri W, Mumbai, Maharashtra – 400058 IN	U01112MH1996PTC304882	Wholly Owned Subsidiary Company	100%	Section 2(87)(ii)
2	Swastika Insurance Services Limited 48, Jaora Compound, MYH Road, Indore-452001 (M.P.)	U66000MP2009PLC021881	Wholly Owned Subsidiary Company	100%	Section 2(87)(ii)
3	Swastika Fin-Mart Private Limited	U65923MP2009PTC022801	Wholly Owned Subsidiary Company	100%	Section 2(87)(ii)

Sub-total (B)(1):-	0	0	0	0%	0	0	0	0%	0%
2. Non-Institutions									
a) Bodies Corp. i) Indian	173349	7600	180949	6.11%	202179	0	202179	6.83%	0.72%
a) Bodies Corp. ii) Overseas	0	0	0	0	0	0	0	0	0
b) Individuals i) Individual shareholders holding nominal share capital upto Rs. 1 lakh	398025	97040	495065	16.73%	410456	79130	489586	16.55%	(0.18)%
b) Individuals ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh	403644	0	403644	13.64%	385152	0	385152	13.01%	(0.63)%
c) Others (NRI & OCB & Clearing Member)	2994	0	2994	0.10%	5735	0	5735	0.19%	0.09%
Sub-total (B)(2):-	978012	104640	1082652	36.58%	1003522	79130	1082652	36.58%	0.00%
Total Public Shareholding (B)=(B)(1)+ (B)(2)	978012	104640	1082652	36.58%	1003522	79130	1082652	36.58%	0.00%
C. Shares held by Custodian for GDRs & ADRs	0	0	0	0	0	0	0	0	0
Grand Total (A+B+C)	2855060	104640	2959700	100%	2880570	79130	2959700	100%	0

During the financial year Inter-se transfer of equity shares was made by way of Gift between promoters.

(ii) Shareholding of Promoters

S. No.	Shareholder's Name	Shareholding at the beginning of the year (As on 01 st April 2017)			Share holding at the end of the year (As on 31 st March,2018)			% change in share holding during the year
		No. of Shares	% of total Shares of the company	%of Shares Pledged / encumbered to total shares	No. of Shares	% of total Shares of the company	%of Shares Pledged / encumbered to total shares	
1	Sunil Nyati	468398	15.83%	0	468398	15.83%	0	0
2	Anita Nyati	298000	10.07%	0	298000	10.07%	0	0
3	Parth Nyati	287000	9.70%	0	287000	9.70%	0	0
4	Devashish Nyati	287000	9.70%	0	287000	9.70%	0	0
5	Anil Kumar Nyati	154400	5.21%	0	154400	5.21%	0	0
6	Sita Nyati	100000	3.38%	0	0	0	0	-3.38%
7	Vandit Nyati	80600	2.72%	0	80600	2.72%	0	0
8	Chand Mal Nyati	48700	1.64%	0	48700	1.64%	0	0
9	Satyanarayan Maheshwari	44900	1.51%	0	44900	1.51%	0	0
10	Sunil Nyati HUF	31200	1.05%	0	31200	1.05%	0	0

11	Satyanarayan Maheshwari HUF	25100	0.85%	0	25100	0.85%	0	0
12	Shilpa Maheshwari	15900	0.54%	0	15900	0.54%	0	0
13	Krishna Prabha Maheshwari	12000	0.41%	0	12000	0.41%	0	0
14	Raksha Maheshwari	11000	0.37%	0	11000	0.37%	0	0
15	Vandana Nyati	10000	0.34%	0	110000	3.72%	0	3.38
16	Anil Nyati HUF	2250	0.08%	0	2250	0.08%	0	0
17	Manish Maheshwari	600	0.02%	0	600	0.02%	0	0
-	Total	1877048	63.42%	0	1877048	63.42%	0	0

As on 13.06.2017 Inter-se transfer of 100000 equity shares was made by way of Gift between promoters i.e. from Smt. Sita Nyati to Smt. Vandana Nyati.

(iii) **Change in Promoters' Shareholding (please specify, if there is no change)**

Sl. No.		Shareholding at the beginning of the year (As on 01 st April 2017)		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
-	At the beginning of the year	1877048	63.42%	1877048	63.42%
-	Date wise Increase / Decrease in Promoters Share holding during the year specifying the reasons for increase/ decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	0	0	1877048	63.42%
-	At the End of the year	1877048	63.42%	1877048	63.42%

(iv) **Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):**

Sr. No.	Top Ten Shareholders Name	Shareholding		Date wise increase /decrease in top ten shareholders	Increase/Decrease in Shareholding	Reason	Cumulative Shareholding during the year	
		No. of Shares at the beginning of the year 01.04.2017/ year ended 31.03.2018	% of total shares of the company				No. of Shares	% of total share of the company
01	Longway Business Solutions Ltd	68259	2.31%	01.04.2017	No Change	NA	68259	2.31%
	At the end of year as on 31.03.2018	68259	2.31%	-	-	-	68259	2.31%
02	Rekha	46419	1.57%	01.04.2017	No Change	NA	46419	1.57%

	Maheshwari							
	At the end of year as on 31.03.2018	46419	1.57%	-	-	-	46419	1.57%
03	Kalawati Agrawal	30100	1.02%	01.04.2017	No Change	NA	30100	1.02%
	At the end of year as on 31.03.2018	30100	1.02%	-	-	-	30100	1.02%
04	Mahesh Agrawal HUF	30100	1.02%	01.04.2017	No Change	NA	30100	1.02%
	At the end of year as on 31.03.2018	30100	1.02%	-	-	-	30100	1.02%
05	Vikas International Private Limited	76671	2.59%	01.04.2017	-	-	-	-
				07.04.2017	3	Purchase	76674	2.59%
				14.04.2017	2	Purchase	76676	2.59%
				21.04.2017	2	Purchase	76678	2.59%
				28.04.2017	3	Purchase	76681	2.59%
				05.05.2017	2	Purchase	76683	2.59%
				12.05.2017	4	Purchase	76687	2.59%
				19.05.2017	4	Purchase	76691	2.59%
				26.05.2017	4	Purchase	76695	2.59%
				02.06.2017	5	Purchase	76700	2.59%
				09.06.2017	3	Purchase	76703	2.59%
				16.06.2017	139	Purchase	76842	2.60%
				23.06.2017	125	Purchase	76967	2.60%
				30.06.2017	153	Purchase	77120	2.61%
				07.07.2017	80	Purchase	77200	2.61%
				14.07.2017	157	Purchase	77357	2.61%
				21.07.2017	85	Purchase	77442	2.62%
				28.07.2017	52	Purchase	77494	2.62%
				04.08.2017	40	Purchase	77534	2.62%
				11.08.2017	25	Purchase	77559	2.62%
				25.08.2017	(24)	Sale	77535	2.62%
				01.09.2017	(2000)	Sale	75535	2.55%
				30.09.2017	1785	Purchase	77320	2.61%
				06.10.2017	(2010)	Sale	75310	2.54%
				22.12.2017	(2195)	Sale	73115	2.47%
				30.12.2017	(21)	Sale	73094	2.47%
	At the end of year as on 31.03.2018	73094	2.47%	31.03.2018	-	-	73094	2.47%
06	Sudhir Bangur	22060	0.75%	01.04.2017	No Change	NA	22060	0.75%
				20.10.2017	1000	Sale	21060	0.71%
				27.10.2017	1000	Purchase	22060	0.75%
	At the end of year as on 31.03.2018	22060	0.75%	-	-	-	22060	0.75%
07	Reema Amit Gupta	80171	2.71%	01.04.2017	No Change	NA	80171	2.71%
	At the end of year as on 31.03.2018	80171	2.71%	-	-	-	80171	2.71%
08	Vijit Ramavat	64240	2.17%	01.04.2017	-	-	-	-
				12.05.2017	810	Purchase	65050	2.20%
				16.06.2017	(1414)	Sale	66464	2.24%
				30.06.2017	9	Purchase	66473	2.25%

				14.07.2017	(1437)	Sale	65036	2.20%
				21.07.2017	(200)	Sale	64836	2.19%
				15.09.2017	27	Purchase	64863	2.19%
	At the end of year as on 31.03.2018	64863	2.19%	-	-	-	64863	2.19%
09	Investor Education and Protection Fund Authority Ministry Of Corporate Affairs	-	-	01.04.2017	-	-	-	-
				08.12.2017	21910 (Joined Top 10 w.e.f. 08.12.2017)	Transfer of Shares to IEPF Account	21910	0.74%
				30.12.2017	610	Transfer of Shares to IEPF Account	22520	0.76%
	At the end of year as on 31.03.2018	22520	0.76%	-	-	-	22520	0.76%
10	MURUGU SELVAN K	-	-	01.04.2017	-	-	-	-
				29.12.2017	2172	Purchase	14377	0.49%
				19.01.2018	200	Purchase	14577	0.49%
				26.01.2018	400	Purchase	14977	0.51%
				09.02.2018	4100	Purchase	19077	0.64%
				16.02.2018	1200	Purchase	20277	0.69%
				31.03.2018	100	Purchase	20377	0.69%
	At the end of year as on 31.03.2018	20377	0.69%	-	-	-	20377	0.69%

Note:- The above information is based on the weekly beneficiary position received from Depositories. As it is not feasible to provide daily changes in shareholding, consolidated changes on weekly basis during the financial year 2017-18 has been provided.

(v) Shareholding of Directors and Key Managerial Personnel:

Sl. No.	-	Shareholding at the beginning of the year (As on 01 st April 2017)		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
01	Sunil Nyati (Managing Director)				
	At the beginning of the year	468398	15.83%	468398	15.83%
	Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	0	0	468398	15.83%
	At the End of the year	468398	15.83%	468398	15.83%

Sl. No.	-	Shareholding at the beginning of the year (As on 01 st April 2017)	Cumulative Shareholding during the year
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02	Anita Nyati (Whole Time Director)	No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year	298000	10.07%	298000	10.07%
	Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	0	0	298000	10.07%
	At the End of the year	298000	10.07%	298000	10.07%

Sl. No.	-	Shareholding at the beginning of the year (As on 01 st April 2017)		Cumulative Shareholding during the year	
03	Anil Kumar Nyati (Non Executive Director)	No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year	154400	5.21	154400	5.21
	Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	0	0	154400	5.21
	At the End of the year	154400	5.21	154400	5.21

Sl. No.	-	Shareholding at the beginning of the year (As on 01 st April 2017)		Cumulative Shareholding during the year	
04	Sunil Chordia (Independent Director)	No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year	0	0	0	0
	Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	0	0	0	0
	At the End of the year	0	0	0	0

Sl. No.	-	Shareholding at the beginning of the year (As on 01 st April 2017)		Cumulative Shareholding during the year	
05	Chain Raj Doshi (Independent Director)	No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year	0	0	0	0
	Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	0	0	0	0

	At the End of the year	0	0	0	0
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Sl. No.	-	Shareholding at the beginning of the year (As on 01 st April 2017)		Cumulative Shareholding during the year	
06	Raman Lal Bhutda (Independent Director)	No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year	2500	0.08%	2500	0.08%
	Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):			2500	0.08%
	At the End of the year	2500	0.08%	2500	0.08%

Sl. No.	-	Shareholding at the beginning of the year (As on 01 st April 2017)		Cumulative Shareholding during the year	
07	Amit Ramesh Gupta (Whole Time Director)	No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year	53690	1.81%	53690	1.81%
	Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	0	0	53690	1.81%
	At the End of the year	53690	1.81%	53690	1.81%

Sl. No.	-	Shareholding at the beginning of the year (As on 01 st April 2017)		Cumulative Shareholding during the year	
08	Chandra Shekhar Bobra (Independent Director)	No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year	0	0	0	0
	Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	0	0	0	0
	At the End of the year	0	0	0	0

Sl. No.	-	Shareholding at the beginning of the year (As on 01 st April 2017)		Cumulative Shareholding during the year	
09	Shikha Bansal (Company Secretary)	No. of shares	% of total shares of the company	No. of shares	% of total shares of the company

	At the beginning of the year	0	0	0	0
	Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	0	0	0	0
	At the End of the year	0	0	0	0

Sl. No.	-	Shareholding at the beginning of the year (As on 01 st April 2017)		Cumulative Shareholding during the year	
10	Parth Nyati (Chief Financial Officer)	No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year	287000	9.70%	287000	9.70%
	Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	0	0	287000	9.70%
	At the End of the year	287000	9.70%	287000	9.70%

V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding / accrued but not due for payment

Indebtedness	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	20,50,93,557	6,36,61,910	0	26,87,55,467
ii) Interest due but not paid	0	0	0	0
iii) Interest accrued but not due	0	0	0	0
Total (i+ii+iii)	20,50,93,557	6,36,61,910	0.00	26,87,55,467
Change in Indebtedness during the financial year				
· Addition	6,53,96,41,010	2,09,45,90,700	0	8,63,42,31,710
· Reduction	6,56,08,79,699	2,06,26,98,469	0	8,62,35,78,168
Net Change	(2,12,38,689)	3,18,92,231	0	1,06,53,542
Indebtedness at the end of the financial year				
i) Principal Amount	18,38,54,868	9,55,54,141	0	27,94,09,009
ii) Interest due but not paid	0	0	0	0
iii) Interest accrued but not due	0	0	0	0
Total (i+ii+iii)	18,38,54,868	9,55,54,141	0.00	27,94,09,009

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

(Amount in Rs.)

Sl. no.	Particulars of Remuneration	Managing Director	Whole Time Director	Whole Time Director	Total Amount
-	-	Sunil Nyati	Anita Nyati	Amit Ramesh Gupta	
1.	Gross salary (a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961 (b) Value of perquisites u/s 17(2) Income-tax Act, 1961 (c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	35,50,000	17,75,000	12,00,000	65,25,000
2.	Stock Option	0	0	0	0
3.	Sweat Equity	0	0	0	0
4.	Commission - as % of profit - others, specify...	0	0	0	0
5.	Others, please specify (Provident Fund)	97,200	97,200	0	1,94,400
-	Total (A)	36,47,200	18,72,200	12,00,000	67,19,400
-	Ceiling as per the Act	Remuneration paid within the ceiling limit as prescribed in Companies Act, 2013			

B. Remuneration to other directors:

(Amount in Rs.)

Sl. no.	Particulars of Remuneration	Name of Directors		Total Amount
-	-	Raman Lal Bhutda	*Kailash Chander Sharma	-
-	1. Independent Directors - Fee for attending board/committee meetings - Commission - Others, please specify	12,500	2,500	15,000
-	Total (1)	12,500	2,500	15,000
-	2. Other Non-Executive Directors - Fee for attending board/committee meetings - Commission - Others, please specify	0	0	0
-	Total (2)	0	0	0
-	Total (B)=(1+2)	12,500	2,500	15,000
-	Total Managerial Remuneration	12,500	2,500	15,000
-	Overall Ceiling as per the Act	Nil	Nil	Nil
-	-	-	-	-

* Resigned w.e.f. August 1, 2017

Note: No remuneration, fee, compensation or other benefits given to others Directors i.e. Mr. Sunil Chordia, Mr. C.R.Doshi, Mr. Anil Nyati and Mr. Chandra Shekhar Bobra.

C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD
(Amount in Rs.)

Sl. no.	Particulars of Remuneration	Key Managerial Personnel			
		CEO	Company Secretary (Shikha Bansal)	CFO (Parth Nyati)	Total
1.	Gross salary (a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961 (b) Value of perquisites u/s 17(2) Income-tax Act, 1961 (c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	0.00	3,75,550	17,75,000	21,50,550
2.	Stock Option	0	0	0	0
3.	Sweat Equity	0	0	0	0
4.	Commission - as % of profit - others, specify...	0	0	0	0
5.	Others, please specify (Provident Fund)	0	0	97,200	97,200
-	Total	0	3,75,550	18,72,200	22,47,750

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES: The Company, its directors or other officers were not subject to penalties/punishments/ compounding of offences during the financial year 2017-18.

Place: Indore
Date: 13th August, 2018

For and on behalf of the Board of Directors
SWASTIKA INVESTMART LIMITED

Sunil Nyati
Managing Director
DIN: 00015963

Anita Nyati
Whole-time Director
DIN: 01454595